

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
143766-1

In Re Application Of: **Jos van den Bogerd et al.**

Serial No.  
10/698321

Filing Date  
10/31/2003

Examiner  
NYA

Group Art Unit  
1772

Title: **MULTILAYERED ARTICLES AND METHOD OF MANUFACTURE THEREOF**

Address to:

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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In Re Application: Jos van den Bogerd et al.

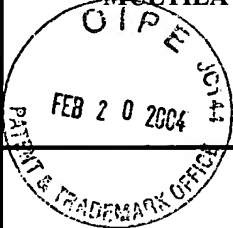
Serial No.  
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**MULTILAYERED ARTICLES AND METHOD OF MANUFACTURE THEREOF**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
- ☐ Charge the amount of \_\_\_\_\_
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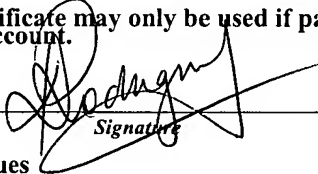
I certify that this document and fee is being deposited on 02/18/2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature of Person Mailing Correspondence

Barbara Davidson

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Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
Signature

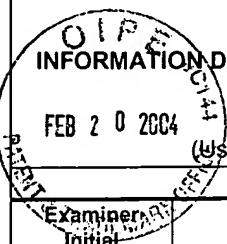
Dated: February 18, 2004

David E. Rodrigues  
Registration No. 50,604  
Customer No. 23413  
(860) 286-2929

CC:

<b>PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>LIST OF ITEMS</b> (Use several sheets if necessary)			<b>Attorney's Docket Number</b> 143766-1		<b>Serial Number</b> 10/698321	
<div style="position: absolute; left: -100px; top: 0; border: 1px solid black; border-radius: 50%; padding: 5px; text-align: center;">             OIP              FEB 20 2004              RECEIVED           </div>			<b>Name of Applicant</b> Jos van den Bogerd et al.			
			<b>Filing Date</b> 10/31/2003		<b>Group</b> 1772	
<b>FOREIGN PATENT DOCUMENTS</b>						
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>COUNTRY</b>	<b>Class</b>	<b>Subclass</b>	<b>TRANSLATION</b> YES      NO
	JP 2000-096034	04.04.00	Japan			YES
	JP 2000-319554	21.11.00	Japan			YES
	JP 2001-089202	03.04.01	Japan			YES
	JP 2002-369629	24.12.02	Japan			YES
<b>OTHER INFORMATION</b> (including author, title, date, pertinent)						
	Japanese Abstract for JP2000319554A 2000.11.21					
	Schelm et al. "Dilute LaB <sub>6</sub> nanoparticles in polymer as optimized clear solar control glazing" Applied Physics Letters, Volume 82, Number 24, June 16, 2003 pp. 4346-4348					
	SMM Sumitomo Metal Mining Brochure "A new IR-active agent to screen out solar heat 'KH'" Sumitomo Metal Mining Co., Ltd., Performance Materials Dept., 11-3 Shimbashi, 5-chome, Tokyo 105-8716 Japan - www.smm.co.jp					
	SMM Sumitomo Metal Mining Brochure "IR-Shielding Dispersions" Sumitomo Metal Mining Co., Ltd., Performance Materials Dept., 11-3 Shimbashi, 5-chome, Tokyo 105-8716 Japan - www.smm.co.jp					
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>		

\* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

<b>PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b><u>LIST OF ITEMS</u></b> (Use several sheets if necessary)		<b>Attorney's Docket Number</b> 143766-1	<b>Serial Number</b> 10/698321			
<div style="position: absolute; left: -100px; top: 0; text-align: right;">  </div>		<b>Name of Applicant</b> Jos van den Bogerd et al.				
		<b>Filing Date</b> 10/31/2003	<b>Group</b> 1772			
<b>U.S. PATENT DOCUMENTS</b>						
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>NAME</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date if Appropriate</b>
	3,885,855	05/27/1975	Gross			
	4,323,668	04/06/1982	Brunelle			
	5,405,680	04/11/1995	Chang et al.			
	6,060,154	05/09/2000	Adachi et al.			
	US 6,221,945 B1	04/24/2001	Kuno et al.			
	US 6,277,187 B1	08/21/2001	Kuno et al.			
	US 6,319,613 B1	11/20/2001	Takeda et al.			
	US 6,420,512 B1	07/16/2002	McCloskey et al.			
	US 6,548,623 B2	04/15/2003	Brunelle et al.			
	US 6,620,872 B2	09/16/2003	Fisher			
	US 2003/0054160 A1	03/20/2003	Fisher et al.			
<b>FOREIGN PATENT DOCUMENTS</b>						
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>COUNTRY</b>	<b>Class</b>	<b>Subclass</b>	<b>TRANSLATION</b> YES      NO
	EP 0 454 349 A2	17.04.91	European			NO
	EP 0 727 306 A2, B1	22.01.96	European			NO
	EP 0 905 100 B1	15.09.98	European			NO
	EP 0 943 587 A1	29.10.98	European			NO
	EP 1 008 564 A1	09.12.99	European			NO
	WO 02/060988 A1	08.08.02	International			NO
	GB 2 057 355 A	28.08.80	Great Britain			NO
	JP 06-122803	06.05.94	Japan			YES
	JP 07-070356	14.03.95	Japan			YES
	JP 08-104835	23.04.96	Japan			YES
	JP 09-063117	07.03.97	Japan			YES
	JP 2000-072484	07.03.00	Japan			YES
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>		
* <b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.						